

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of

Applicant: S.M. Stedman et al. Attorney Docket No.: WDIC117389  
Patent No.: 6,081,837 Group Art Unit: 2153  
Issued: June 27, 2000 Examiner: M. MEKY  
Title: METHOD OF ACCESSING INFORMATION ON A HOST COMPUTER  
FROM A CLIENT COMPUTER

OFFER TO SURRENDER ORIGINAL PATENT (37 C.F.R. § 1.178)

AND ASSENT OF ASSIGNEE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Wall Data Incorporated, (hereinafter the "Assignee") a Washington Corporation, having a business address of 10725 North De Anza Boulevard, Cupertino, California 95014, represents through the undersigned (whose title is supplied below) and who is empowered to act on behalf of the assignee, the following:

1. Assignee is the owner of the entire right, title and interest in U.S. Patent No. 6,081,837 by an assignment from the inventors, Steven Matthew Stedman, Glenn Edward Gervais, Kevin MacFarland Mills, Michael William Miller, David Neal Brim. The assignment was recorded in the U.S. Patent and Trademark Office at Reel 010602, Frame 0733.

2. U.S. Patent No. 6,081,837 is the original patent, which is the subject of the accompanying reissue application.

3. Assignee hereby offers to surrender U.S. Letters Patent No. 6,081,837, having an issue date of June 27, 2000.

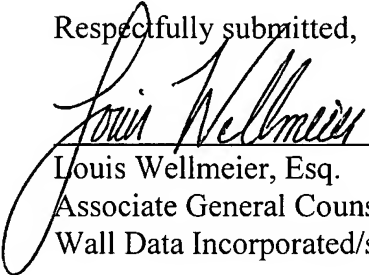
4. Assignee hereby assents to the accompanying reissue application.

A certificate under 37 C.F.R. § 3.73(b) is attached herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date: DECEMBER 19, 2001

  
\_\_\_\_\_  
Louis Wellmeier, Esq.

Associate General Counsel

Wall Data Incorporated/sNetManage, Inc.

10032988-122701  
10/22/01 13:52:00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of

Applicant:	S.M. Stedman et al.	Attorney Docket No.:	WDIC117389
Patent No.:	6,081,837	Group Art Unit:	
Issued:	June 27, 2000	Examiner:	
Title:	METHOD OF ACCESSING INFORMATION ON A HOST COMPUTER FROM A CLIENT COMPUTER		

CERTIFICATION OF OWNERSHIP UNDER 37 C.F.R. § 3.73(B)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Wall Data Incorporated, a Washington Corporation, certifies that it is the assignee of the entire right, title and interest in the above-identified patent by an assignment from the inventors of the patent application identified above. The assignment was recorded in the U.S. Patent and Trademark Office at Reel 010602, Frame 0733.

The undersigned has reviewed the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

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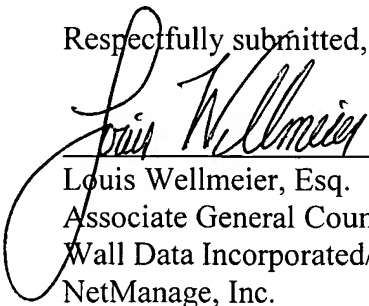
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10032988-13301  
FOI 2025-0001

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: DECEMBER 19, 2001

Respectfully submitted,

  
\_\_\_\_\_  
Louis Wellmeier, Esq.  
Associate General Counsel  
Wall Data Incorporated/  
NetManage, Inc.

1002986 12301  
" 9862E00T"

COMBINED DECLARATION AND POWER OF ATTORNEY  
IN A REISSUE PATENT APPLICATION

As the representative of the Assignee, I hereby declare that:

Our business address and citizenship are as stated below next to my name;

I believe that the Assignee is the original applicant of the subject matter that is claimed in U.S. Patent No. 6,081,837, granted June 27, 2000, and for which a reissue patent is sought on the invention entitled: METHOD OF ACCESSING INFORMATION ON A HOST COMPUTER FROM A CLIENT COMPUTER, the specification, including the Preliminary Amendment, both of which are attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I believe the original patent to be wholly or partially inoperable, non-operative, or invalid, for the reason that some of the dependent claims are improperly numbered. Specifically, the error in the patent begins with the dependent Claims 22-30 which now read as dependent from Claim 1. These claims should depend from Claim 21, as the text of each amended claim indicates. In addition, Claims 32-36 have been amended to depend from Claim 31, and Claims 39 and 40 have been amended to depend from Claim 38. ✓

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith: Bruce E. O'Connor, Reg. No. 24,849; Lee E. Johnson, Reg. No. 22,946; Gary S. Kindness, Reg. No. 22,178; James W. Anable, Reg. No. 26,827; James R. Uhlir, Reg. No. 25,096; Jerald E. Nagae, Reg. No. 29,418; Dennis K. Shelton, Reg. No. 26,997; Jeffrey M. Sakoi, Reg. No. 32,059; Ward Brown, Reg. No. 28,400; Robert J. Carlson, Reg. No. 35,472; Marcia S. Kelbon, Reg. No. 34,358; Rodney C. Tullett, Reg. No. 34,034; Daiva K. Tautvydas, Reg. No. 36,077; Mary L. Culic, Reg. No. 40,574; Julie C. VanDerZanden, Reg. No. 38,105; George E. Renzoni, Ph.D., Reg. No. 37,919; and Philip P. Mann, Reg. No. 30,960; and the firm of Christensen O'Connor Johnson Kindness<sup>PLLC</sup>. Address all telephone calls to Rodney C. Tullett at telephone No. 206.224.0730.

Address all correspondence to:

**Customer No. 26389**

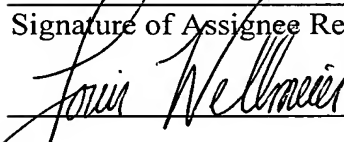
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue, Suite 2800  
Seattle, WA 98101

I hereby further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Assignee Representative	Citizenship
Louis Wellmeier	United States
Associate General Council	
Wall Data, Inc./NetManage, Inc.	

Residence  
Cupertino, California

Post Office Address  
10725 North De Anza Boulevard, Cupertino, California 95014

Signature of Assignee Representative	Date
	12/19/01

SYS

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cc: DDF



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 10, 2000

RECEIVED  
PTASCKETING



\*101291360A\*

JOHNSON & KINDNESS PLLC  
RODNEY C. TULLETT, ESQ.  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

MAY 22 2000

CHIEF OF BUREAU  
JOHN D. ...

WDIC-1-9664

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/15/2000

REEL/FRAME: 010602/0733  
NUMBER OF PAGES: 6

BRIEF: TO CORRECT PRINCIPAL PLACE OF BUSINESS SET FORTH IN ASSIGNMENT RECORDED AT REEL 9637/FRAME 0571, RECORDATION DATE 03/10/1997.

ASSIGNOR:  
STEDMAN, STEVEN MATTHEW

DOC DATE: 02/04/1997

ASSIGNOR:  
GERVAIS, GLENN EDWARD

DOC DATE: 02/04/1997

ASSIGNOR:  
MILLS, KEVIN MACFARLAND

DOC DATE: 02/04/1997

ASSIGNOR:  
MILLER, MICHAEL WILLIAM

DOC DATE: 02/04/1997

ASSIGNOR:  
BRIM, DAVID NEAL

DOC DATE: 02/04/1997

ASSIGNEE:  
WALL DATA INCORPORATED  
11332 N.E. 122ND WAY  
KIRKLAND, WASHINGTON 98034

10032988-122701

010602/0733 PAGE 2

SERIAL NUMBER: 08762266  
PATENT NUMBER: 5968119

FILING DATE: 12/09/1996  
ISSUE DATE: 10/19/1999

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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NE

1-31-92

RI

03-17-2000



101291360

Patent and Trademark Office  
Attorney Docket No: WD1C196C4

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying parties: Execution Dates:  
Steven Matthew Stedman February 4, 1997  
Glenn Edward Gervais February 4, 1997  
Kevin MacFarland Mills February 4, 1997  
Michael William Miller February 4, 1997  
David Neal Brim February 4, 1997  
Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other To correct principal place of business set forth  
in assignment recorded at Reel 9637 / Frame 0571,  
recording date 3/10/1997.  
Execution date: see above

2. Name and address of receiving party:  
Name: Wall Data Incorporated  
Address: 11332 N.E. 122nd Way  
City: Kirkland State: WA ZIP: 98034  
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):  
A. Patent Application No(s).

B. Patent No. 5,968,119

Additional numbers attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

5. Name and address of party to whom correspondence concerning document should be mailed:

Rodney C. Tullett, Esq.  
CHRISTENSEN O'CONNOR  
JOHNSON & KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, WA 98101-2347  
206.682.8100

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41): \$40.00  
Check No. 113388 in the amount of \$40.00 is enclosed.

8. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740.

03/17/2000 DC0ATES 00000015 5968119

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rodney C. Tullett  
Name of Attorney or Agent  
Registration No. 34,034  
Direct Dial 206.695.1730

Signature

2/9/00  
Date

Total number of pages including cover sheet, attachments and document: 6

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: U.S. Patent and Trademark Office, Office of Public Records, Crystal Gateway 4, Room 335, Washington, D.C. 20231, on Feb 9, 2000

Date: Feb 9, 2000

03-25-1999

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FORM PTO-1395  
1-31-92

03/10/97

RECORDED



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Attorney Docket No: WDIC19664

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

## 1. Name of conveying parties:

- Steven Matthew Stedman;
- Glenn Edward Gervais;
- Kevin MacFarland Mills;
- Michael William Miller; and
- David Neal Brim

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No3. Nature of conveyance: **MRD3-10-97**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution date: February 4, 1997

## 2. Name and address of receiving party:

Name: Wall Data IncorporatedAddress: 2211 Rimland Drive, Suite 220City: Bellingham State: WA ZIP: 98226Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number: 08/762,266

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Gary N. Mager, Esq.  
 CHRISTENSEN O'CONNOR  
 JOHNSON & KINDNESS<sup>PLLC</sup>  
 1420 Fifth Avenue  
 Suite 2800  
 Seattle, WA 98101-2347  
 (206) 682-8100

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41): ..... \$ 40.00

Check No. 84206 in the amount of \$40.00 is enclosed.

## 8. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account Number 03-1740.

DO NOT USE THIS SPACE

40E

Signature and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary N. Mager

Name of Attorney or Agent  
 Registration No. P-40,711  
 Direct Dial (206) 224-0727

[Signature]  
Signature3/3/97  
DateTotal number of pages including cover sheet, attachments and document: 5

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 3, 1996.

Date: March 3, 1997

Amparo Tamarzo  
 1 581 40.00 CK

MB No. 0651-0011 (exp. 4/94)

# THE 1970s

I certify that I know or have satisfactory evidence that Steven Matthew Stedman is the person who appeared before me, and said person acknowledged that he signed this instrument

10032988 - 122701

(Seal or stamp)

Notary Public  
My appointment expires 9/9/2000

Glenn Edward Gervais

(Seal or stamp)

NOTARY PUBLIC  
9-9-00  
STATE OF WASHINGTON

Signature \_\_\_\_\_  
Printed Name: LORI L. MILLIREN  
Notary Public  
My appointment expires 9/9/2000.

\*\*\*\*\*

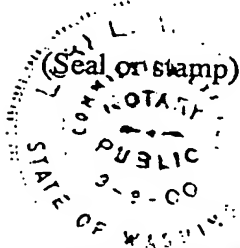
1003998-122701

Kevin MacFarland Mills

I certify that I know or have satisfactory evidence that Michael William Miller is the person who appeared before me, and said person acknowledged that he signed this instrument

and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: 2-4-97



Lori L. Milliren

Signature

Printed Name:

LORI L. MILLIREN

Notary Public

My appointment expires 9/9/2000

\*\*\*\*\*

Executed at Bellingham (city), WA (state), this 4<sup>th</sup> day of Feb., 19 97.

David N. Brim

David Neal Brim

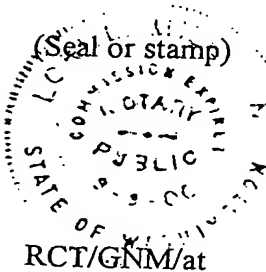
STATE OF WASHINGTON )

) ss.

COUNTY OF WHATCOM )

I certify that I know or have satisfactory evidence that David Neal Brim is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: 2-4-97



Lori L. Milliren

Signature

Printed Name:

LORI L. MILLIREN

Notary Public

My appointment expires 9/9/2000